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525 Rec'd PCT/PTC 19 JUN 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )

PUETTER et al. )

Serial No. 10/069,369 )

Filed: February 25, 2002 )

For: METHOD FOR ELECTROCHEMICALLY PRODUCING AN ALKALI METAL  
FROM AN AQUEOUS SOLUTION )

Application Processing  
Division  
Customer Correction Branch

I hereby certify that this correspondence is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to Commissioner of  
Patents and Trademarks, Washington, D.C. 20231, on:

May 28, 2002

Date of Deposit

Monica K. Sims

Person Making Deposit

Signature

May 28, 2002

Date of Signature

REQUEST FOR CORRECTED FILING RECEIPT

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Please forward a corrected filing receipt as follows:

The first named inventor should be "**Hermann PUETTER**" not --Josef GUTH--  
the fifth named inventor should be Josef GUTH and the correct title of the invention  
should read "**METHOD FOR ELECTROCHEMICALLY PRODUCING AN ALKALI  
METAL FROM AN AQUEOUS SOLUTION**" not --METHOD FOR  
ELECTROCHEMICALLY PRODUCING AND ALKALI METAL FROM AN AQUEOUS  
SOLUTION--

The error is the fault of the Patent Office, no fee is required.

Respectfully submitted,

KEIL & WEINKAUF

Herbert B. Keil  
Reg. No. 18,967

1101 Connecticut Avenue, N.W.  
Washington, D.C. 20036  
(202) 659-0100  
HBK/mks

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/069,369	02/25/2002	1741	944	50603		23	1

26474  
KEIL & WEINKAUF  
1350 CONNECTICUT AVENUE, N.W.  
WASHINGTON, DC 20036

CONFIRMATION NO. 8131

## FILING RECEIPT



\*OC000000007797736\*

Date Mailed: 04/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~Josef Guth, Freinsheim, GERMANY;~~  
Hermann Putter, Neustadt, GERMANY;  
Gunther Huber, Ludwigshafen, GERMANY;  
Kerstin Schierle-Arndt, Mannheim, GERMANY;  
Dieter Schlafer, Ludwigshafen, GERMANY;

JOSEF GUTH, FREINSHEIM, GERMANY

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP00/08278 08/24/2000

## Foreign Applications

GERMANY 199 40 069.5 08/24/1999

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TC 1700

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

## Title

Method for electrochemically producing <sup>AN</sup> and alkali metal from an aqueous solution

## Preliminary Class

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/069,369	Josef Guth	50603

INTERNATIONAL APPLICATION NO.
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PCT/EP00/08278

I.A. FILING DATE	PRIORITY DATE
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08/24/2000

08/24/1999

26474  
KEIL & WEINKAUF  
1350 CONNECTICUT AVENUE, N.W.  
WASHINGTON, DC 20036



CONFIRMATION NO. 8131

371 ACCEPTANCE LETTER



\*0C0C000007797737\*

Date Mailed: 04/08/2002

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

02/25/2002  
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
(c)(4) REQUIREMENTS

02/25/2002  
DATE OF RECEIPT OF ALL 35 U.S.C.  
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Assignee Statement
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Information Disclosure Statements
- Oath or Declaration

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**JUL 23 2002**  
**TC 1700**

- Preliminary Amendments
- Request for Immediate Examination

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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JOHN L ANDERSON

Telephone: (703) 308-9116

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FORM PCT/DO/EO/903 (371 Acceptance Notice)